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(54) Title: MUCIN CONTAINING OPHTHALMIC PREPARATIONS (57) Abstract <p>The present invention discloses the ophthalmic applications of mucin derived from mammalian milk or milk byproducts. This mucin has been found to be a MUC1 type mucin similar to the transmembrane mucin expressed on the surface of the human eye. The mucin-containing preparations described in this invention can be in the form of an aqueous formulation to be instilled into the eye, or in which the pre-soak or store an object to be inserted into the eye, such as a contact lens, an ointment, or a solid device to be inserted into the conjunctival sac. The preparations disclosed are utilized for the treatment of tear film and ocular surface disorders associated with the signs and symptoms of dry eye. Furthermore, mucin-based formulations are also effective for the relief of symptoms of eye irritation, such as those caused by environmental conditions or by contact lens wear.</p>		

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WO 00/51619

PCT/US00/05365

## MUCIN CONTAINING OPHTHALMIC PREPARATIONS

FIELD OF THE INVENTION:

The present invention relates to ophthalmic preparations and more specifically relates to ophthalmic preparations for use as a tear film supplement, wherein the preparation comprises a mucin component.

BACKGROUND OF THE INVENTION:

Initial descriptions and models of the tear film described the tear film as including three distinct layers and as being a three-layered, aqueous-dominated tear film. One of the layers comprises a mucin layer which serves primarily to render the hydrophobic ocular surface hydrophilic, so that the aqueous layer comprising the bulk of the tear film will spread evenly over the eye.

Current work in this field has shown that the classic aqueous-dominated tear film model has been replaced by the more probable concept of a mucin-dominated gel. This gel has its highest concentration of mucin at the epithelial surfaces of the cornea and conjunctiva, and the mucin concentration gradually decreases farther out into the tear film. In this model, the presence of mucin remains significant for the structure, stability and function of the entire tear film. Recent studies of the tear film using laser interferometry and confocal microscopy might be including the entire gel layer in indicating that the human tear film is 30 to 40 microns thick, more than four times thicker than earlier estimates.

Based on tear film physiology and clinical observations, tear film abnormalities are commonly designated by focus on a specific deficiency, such as an aqueous tear deficiency, kerato-conjunctivitis sicca (KCS), a mucin deficiency, a lipid abnormality, an impaired lid function, or an epitheliopathy. Although clinically useful, the simplistic concept of a lack of one component of the tear film as the cause of dry eye has given way to a much more sophisticated view of ocular surface disease that involves: (1) the health and regulation of the various glands contributing secretions to the tear film, (2) changes in the tear film itself, such as in osmolality and content of inflammatory mediators, and (3) what is viewed as a sort of "final common

WO 00/51619

PCT/US00/05365

2

pathway", the subsequent changes to the ocular surface. In fact, many clinicians and authors prefer the term "ocular surface disease" over "dry eye", for it is change to the ocular surface, whatever the original cause, that results in the significant signs and symptoms of dry eye. The discomfort of ocular surface disease is expressed in ocular symptoms, such as dryness, grittiness, burning, soreness or scratchiness, with variation among individuals. These symptoms can also be exacerbated by factors such as environmental conditions and contact lens wear. The combination of varying clinical signs and symptoms has also been termed dry eye syndrome.

Over the past twenty to thirty years many attempts have been made to provide an effective and long lasting treatment of dry eye symptoms, particularly for patients with moderate to severe KCS. These prior art attempts can be categorized on the basis of their physical state: ointments, emulsions, solid devices and aqueous based solutions or gels. Ointments are generally anhydrous preparations based on mixtures of white petrolatum and mineral oil. Because these formulations are greasy and cause blurred vision, they are not widely used other than in cases of severe symptoms, and are mostly limited to application at night just before sleeping. Emulsion based formulations for treating dry eye symptoms have emerged over the past ten years. One approach has been disclosed in a series of U.S. patents: 5,578,586; 5,371,108; 5,294,607; 5,278,151; 4,914,088, all of which are herein incorporated by reference in their entirety. These patents teach the methods and compositions for reducing evaporation of the aqueous layer from the surface of the eye. The method comprises applying an admixture of a charged phospholipid and a non-polar oil over the eye, preferably in the form of a finely divided oil-in-water emulsion. Another approach is described in U.S. patents 4,818,537 and 4,804,539, incorporated herein by reference in their entirety, where liposome compositions in the form of emulsions are claimed to provide enhanced retention on ocular surfaces and therefore thereby alleviate the symptoms of dry eye.

Solid devices, in the form of ocular inserts, have been utilized for longer term symptomatic relief of dry eye. These devices are placed in the eye and slowly dissolve or erode to provide a thickened tear film. Often patients find these devices

WO 00/51619

PCT/US00/05365

3

difficult to insert and once in place, they tend to be uncomfortable. Examples of this technology are given in U.S. Patents 5,518,732, 4,343,787, and 4,287,175, all of which are incorporated by reference in their entirety.

5 The most recommended and commercially successful methodology to treat dry eye symptoms is aqueous based solutions or gels. For the patient, eye drops are convenient and easy to apply relative to the other options mentioned above. There are at least thirty artificial tear products currently on the market from which to choose. For the most part the "active" ingredients in these present day artificial tear formulations are common water soluble or dispersable polymers such as:

10 hydroxyethylcellulose; hydroxypropylmethylcellulose; methylcellulose; carboxymethylcellulose; polyvinyl alcohol; polyvinyl pyrrolidone; polyethylene glycol; carbomers; and poloxamers.

These currently marketed products, while providing temporary relief of symptoms - usually measured in minutes - are strictly palliative without long term

15 effect. In fact, to truly maintain relief of symptoms in moderate to severe cases, an impractical schedule of doses would be necessary. With preserved solutions, the frequency of instillation itself can lead to signs and symptoms of irritation, making it necessary to utilize expensive and more cumbersome unit dose delivery packages.

The recent patent literature indicates a continued interest in pursuing synthetic

20 based artificial tear solutions. For example, U.S. 5,460,834, incorporated herein by reference in its entirety, teaches the use of hydroxypropylmethylcellulose along with other ingredients as an ophthalmic solution, and PCT publication WO98/11875, incorporated herein by reference in its entirety, discloses the use of polyvinylpyrrolidone in combination with other components to relieve eye dryness.

25 The art recognizes that an ophthalmic solution must provide an effective and long lasting treatment for symptoms of dry eye. One approach to achieving these aims is to provide a solution with tailored rheological properties, that is, a high viscosity solution that yields or flows under stress. Examples of this approach are disclosed in U.S. patents 5,075,104 and 5,209,927,, incorporated herein by reference

30 in their entirety, where the rheological properties of the ophthalmic solutions are

WO 00/51619

PCT/US00/05365

4

attained through the use of carbomer polymers. These carbomer polymers have been found to be bio-adhesive as described in U.S. patents 5,225,196, 5,188,828, 4,983,392 and 4,615,697, all of which are incorporated by reference in their entirety. It is believed that the bio-adhesive properties of the carbomer contributes to longer retention times in the eye. In fact, U.S. patents 5,075,104 and 5,209,927, incorporated by reference in their entirety, teach "that the carbomer polymers appear to function by maintaining or restoring the normal hydration equilibrium of the epithelial cells, protecting the cornea in a manner similar to that believed to be provided by the mucin component of normal tears. Therefore, in theory, the polymers, in addition to being well retained in the eye and providing lubrication, can function as a mucin substitute in the dry eye syndrome where there is a deficiency or absence of the natural mucin component of the normal tears.

Polymers that exhibit mucin-like properties are often referred to as "mucomimetic". Usually in the art the mucin-like property provided by such "mucomimetic" polymers is simply viscosity. While it is true that a viscous solution will stay in the eye somewhat longer, it is the viscoelasticity, rather than simply the viscosity, of the gel-forming mucin of the tear film that is critical to its protective function during blinking. Additional lubrication and protection from drying and physical trauma to the ocular surface itself comes from the transmembrane mucin expressed on the surface of the entire ocular surface epithelium. It has also been proposed that this transmembrane mucin plays a critical role in spreading and maintaining the tear film structure through its interaction with the secreted gel-forming mucins of the tear film.

Mucins are the most important component in the tear film for promoting lubrication during the blinking process. The rate of shear during blinking can be very high. At such levels damage to cells and subsequent pain will occur if the shearing forces generated during blinking are transmitted to the epithelial surfaces. Two rheological conditions can mitigate the action of the shearing forces due to blinking. Firstly, shear thinning (non Newtonian behavior) of the tear film as the shear forces increase will result in a reduction of the apparent viscosity. Secondly, the energy

WO 00/51619

PCT/US00/05365

5

associated with the shear forces can be partially absorbed by the elastic component of the tear film. These rheological conditions are provided by the viscoelastic properties of ocular mucin secretions, both in the gradient concentration of the fluid layer and in the gel near the epithelial surface. As a result, during eye movement the mucin can  
5 act on the stress gradient across the tear film and reduce the shear forces to near zero at the cell surfaces. Current commercial artificial tear products do not achieve the viscoelastic properties of human tears and have very limited retention time and lubricity effect in the eye.

The search for mucin-like polymers has extended into the area of bio  
10 polymers, with particular emphasis on the naturally occurring polysaccharides. One polymer, hyaluronic acid, and its sodium salt have received much attention over the past several years. In fact, one commercial product, Hylashield®, based on a high molecular weight sodium hyaluronate, has been successfully marketed as a dry eye treatment solution. The use of hyaluronic acid in artificial tear solution compositions  
15 is also taught in U.S. Patents 5,460,834 and 5,106,615, both of which are incorporated by reference in their entirety. Other polysaccharides, such as carrageenan, tamarind gum and keratan sulfate have been claimed to have utility in artificial tear solutions as disclosed in U.S. patents 5,403,841 and 5,460,834 and PCT publications WO97/28787, all of which are incorporated by reference in their entirety.  
20 In addition, polysaccharides, such as alginate, dextran, scleroglucan and xanthan have been used, or have been proposed for use in ophthalmic solutions.

Prior art clearly recognizes the importance of mucin in the natural tear fluid as a wetting agent, viscoelastic gel former, lubricant and barrier to bacterial adhesion. Limited success with so many various synthetic and substitute polymers indicate that  
25 supplementing the tear fluid with a compatible mucin from an exogenous source would appear to be a more direct and preferred method for addressing dry eye conditions. Part of the problem in the development of ocular surface changes in dry eye disease may be the dehydration of the mucus gel and subsequently the mucin layer of the cellular surface. Supplementing the tear fluid with mucin in an aqueous  
30 solution would be expected to help maintain the natural surface mucin layer of the eye

WO 00/51619

PCT/US00/05365

6

by both the addition of the additional mucin molecules and the hydration provided by the aqueous vehicle.

Perhaps one reason that mucin-based ophthalmic solutions have not been developed is the limited commercial availability of mucin. The mucins that are available are partially purified from bovine submaxillary glands, or from porcine guts. These by-products of the meat packing industry are distributed by Sigma Chemical Company (St.Louis, MO) and Worthington Biochemical Corp. (Freehold, NJ). The most notable problem with currently available commercial mucins is their very poor quality. For example, fractionation of BSM mucin from Sigma by SDS-PAGE reveals that the preparation is heavily contaminated by low molecular weight proteins that are either degraded mucin, or proteins unrelated to mucin.

The patent literature reveals one reference to the use of mucin in sterilized, preserved and stable solutions. U.S. patent 4,438,100, incorporated by reference in its entirety, describes mucin-containing solutions for application to sensitive mucous membranes of the oral cavity, the nasal system and the eye. The mucins utilized in this invention are non human mammalian mucins selected from the group consisting of buccal and gastrointestinal mucins. In fact, the source of their mucins is mucus, a mature and complex secretion containing a mixture of various mucin molecules as well as other proteins and associated contaminants of secretion. There is no distinction made between secreted mucins and mucins expressed by the surface cells of the oral cavity or gastrointestinal mucous membranes. The inventors provide examples of mucin-containing solutions for use as artificial saliva, but do not teach the preparation of ophthalmic solutions. In fact, the inventors discuss the potential use of mucin-containing ophthalmic solutions in conjunction with contact lens care. It is evident that the inventors did not contemplate the use of mucin as a tear supplement.

#### SUMMARY OF THE INVENTION:

The present invention relates to ophthalmic preparations for use as a tear film supplement. More specifically, the invention relates to an aqueous formulation to be instilled into the eye, or in which to pre soak or store an object to be inserted into the eye, such as a contact lens, an ointment, or a solid device to be inserted into the



WO 00/51619

PCT/US00/05365

7

conjunctival sac. The preparations disclosed are utilized for the treatment of disorders such as keratoconjunctivitis sicca or dry eye syndrome. In general, the preparations of this invention are also effective for the relief of symptoms of eye irritation, such as those caused by dry environmental conditions or by contact lens wear.

5 In particular, the present invention relates to ophthalmic compositions comprising a mucin component, similar to that found at the normal human ocular surface, as well as to methods for their preparation and storage. The invention also relates to a method of treating the eye by topically applying the composition of the present invention, when indicated, to provide lubrication and protection of the ocular  
10 surface, for the relief of dryness and discomfort symptoms, such as experienced in patients with dry eye and following traumatic injury or surgery, and when indicated to achieve the other effects mentioned above. In one preferred embodiment the compositions of the present invention are provided as buffered, sterile aqueous solutions. The subject compositions may be unpreserved (provided in a unit dose  
15 format) or may be preserved.

In one exemplary embodiment, the present invention teaches ophthalmic preparations comprising a mucin derived from mammalian milk, preferably bovine, due to its relative abundance. Other sources would include goats and other mammals from which adequate amounts of milk mucins could be obtained. To form the  
20 ophthalmic preparations of the present invention, other ingredients commonly employed in ophthalmic formulations are utilized to provide a balance of physiologically acceptable properties, depending on whether the final product is a solution, ointment, gel or solid.

The above-discussed and other features and advantages of the present  
25 invention will be appreciated and understood by those skilled in the art from the following detailed description.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS:

Mucins refer to a family of glycoproteins of high molecular weight, secreted or expressed by goblet and nongoblet epithelial cells of mucosal tissues. These  
30 mucins predominate in the formation of mucus, a highly hydrated gel of particular

WO 00/51619

8

PCT/US00/05365

structure and function. Nine distinct mucin genes have been identified (MUC1, 2, 3, 4, MUC5AC, MUC5B, MUC6, 7 and 8). Furthermore, each of these is produced in various forms in different tissues. This suggests that the mucins serve unique, tissue-specific protective functions at the apical surfaces of specialized epithelial cells.

5 In the human eye, the secretory mucins MUC2, MUC4 and MUC5AC have been detected (via transcripts at the nucleic acid level) from conjunctival isolates, and only MUC5AC has been localized to conjunctival goblet cells. The transmembrane mucin MUC1 is associated with the cell membranes of the entire corneal and conjunctival epithelial surface, except the goblet cells. Qualitative and quantitative  
10 analyses of ocular mucins are difficult because there are as yet no specific probes for individual ocular mucins, and little is known about the mechanisms or extent of synthesis or secretory regulation of these mucins. One or more calcium dependent processes are possible, with involvement of several secretagogues, such as prostanoids, autonomic transmitters, and neuropeptides being investigated.

15 The secreted ocular mucins are relatively large molecules, and have a significant role in the gel-forming nature of the tear film. The model of the greater part of the tear film being a highly hydrated mucus gel, rather than simply a watery aqueous layer, is becoming increasingly accepted. The viscoelasticity of the tear film derives from the specific structure and gel-forming properties of the ocular mucins,  
20 and allows the tear film to absorb the shear force of the blink, which would otherwise irritate and damage the ocular surface. The transmembrane mucin, on the other hand, serves more as a protective layer on the actual cellular surface of the ocular epithelium, functioning to directly protect and lubricate the ocular surface, as well as to anchor the highly hydrated gel (mucus) of the tear film gel-forming mucins, thereby  
25 assisting in the spreading and stability of the tear film over the ocular surface.

Stratified layers of the above mucins are known to form over the surface of mucosal membranes, such as in the gut, affecting the flow and interaction of the protective layer and its contents with the cellular surface of the epithelium. A  
30 deficiency in one type of mucin would therefore be expected to affect the lubricating, protective, barrier and other functions of the other mucins at the mucosal surface.

WO 00/51619

PCT/US00/05365

9

In a mild to moderate dry eye, the goblet cell density is not significantly reduced, indicating that MUC5AC is most likely still able to be produced normally, in quantities sufficient to be spread over the entire ocular surface. However, localized early ocular surface changes resulting from dryness, such as that revealed by fluorescein or rose bengal staining, can be seen in the epithelia of the corneal and conjunctival surfaces. This localized damage to the ocular surface indicates that even marginal dryness might have a significant effect on the presence of functional MUC1 on the surface of the ocular epithelium. Since one of the proposed functions of MUC1 is to help the other, more abundant gel-forming ocular mucins adhere to the ocular surface, a paucity of MUC1 might significantly affect the stability of the tear film, even in the presence of an abundance of MUC5AC secreted by the conjunctival goblet cells. When investigated using the technique of impression cytology, the more severe ocular surface changes resulting from dryness, exhibited in the process of squamous metaplasia, are also seen to occur initially in localized areas. These more pathological localized surface changes are further evidence for a critical protective role of MUC1. There is some early evidence that with the progression of changes to the ocular surface mucins associated with dry eye, as detected by immunohistochemical methods, the goblet cells themselves try to make up for the lack of normal expression of MUC1 by the rest (non goblet cells) of the corneal and conjunctival surface epithelium, and begin expressing a MUC1-like molecule in their secretions.

Although not being held to any one theory we believe that the particular type of mucin described in this invention, being a transmembrane or surface mucin, acts to protect and lubricate the ocular surface, as in the role of the natural transmembrane mucin, MUC 1, which is expressed by the entire surface epithelium of the conjunctiva and cornea. By supplementing the natural epithelial surface mucin, the lubrication and protection of the ocular surface is enhanced, in order to slow the progression, and associated development of symptoms, of changes to the ocular surface epithelium, such as decreased tear film stability, increased staining with fluorescein sodium or rose bengal, decreased goblet cell density and the development of squamous metaplasia seen with ocular surface disease. The property of viscosity in the preferred

WO 00/51619

PCT/US00/05365

10

embodiment is primarily targeted to assist in retention of the invention in the eye at the ocular surface, as well as for lubrication and comfort associated with instillation.

Viscosity is not the physical property which gives the mucin formulation of this invention its "mucomimetic" function. This invention primarily protects and

5 lubricates the ocular surface and interacts with the gel-forming secreted mucins of the tear film, thereby enhancing the spreading of the tear film, and by default of instillation adds to the tear film volume and hydration of the ocular surface. The "mucomimetic" effects of this invention, therefore, are those of the transmembrane mucin expressed on the ocular surface epithelium, and not the gel-forming mucins  
10 secreted by the goblet cells. Together, these effects protect the ocular surface from dryness and absorb shear forces of the blink, and assist the eye's own secreted gel forming mucins (MUC4 and MUC5) in maintaining their viscoelastic properties and ensuing structure and stability of the tear film, thereby slowing or preventing the changes to the ocular surface seen in dry eye conditions.

15 Although mucins can be obtained from various sources, they have similar structures for the particular molecules described above (MUC1, 2, etc.) among species, particularly with regard to the protein backbone. They are glycoproteins containing from fifty to eighty percent carbohydrate. They are large, elongated molecules (molecular weight  $10^5$  to  $10^7$  daltons) with a protein backbone to which  
20 oligosaccharides are attached in a bottle-brush configuration. The oligosaccharide side chains, or bristles, can be highly variable in their make-up, indicating that the more basic functions of the molecule derive from the protein core. These molecules can be crosslinked through disulfide bridges to form very high molecular weight gels. MUC1, the surface or transmembrane mucin molecule, is the smallest mucin and is  
25 not considered a gel forming mucin on its own. The mucin of this invention is of the MUC1 type, and is derived from mammalian milk, particularly bovine, or milk byproducts such as whey, processed whey, sweat dairy whey and acid whey. The lost cost and availability of this source of predominantly transmembrane mucin (with its relative lack of contamination by other types of mucins as is found with gut and  
30 submaxillary gland sources), make it ideal. Further evidence that this source is

WO 00/51619

11

PCT/US00/05365

natural and appropriate is found in the fact that human milk fat globule antibody (HMFG-1) which is specific for MUC1 core protein, is used successfully in immunoblot analysis and immunohistochemistry to determine the presence and distribution of MUC1 in the eye. It is also within the scope of this invention that the  
5 mucin component may be derived from milk originating from livestock, such as goats and the like.

A milk byproduct, preferably in the form of whey, is purified to obtain the desired mucin component. The whey consists mainly of lactose and proteins with a small percentage of mucin. In order to recover the mucin component, standard  
10 fractionation methods known in the art are utilized. These would include gel filtration chromatography (size exclusion), centrifugation, and ultra filtration. The mucin obtained appears to be of the MUC1 category, and is in the form of a complex molecule with a molecular weight (MW) of  $2 \times 10^6$  and higher. This complex structure contains about fifty percent mucin ( $2 \times 10^5$  MW) linked to low molecular  
15 weight protein through disulfide bridges. As with naturally occurring ocular mucin, the mucins described in this invention exhibit low surface tension (surface activity) in aqueous solutions. Milk products and byproducts can be obtained from a number of commercial sources, mainly cheese producing facilities, including Cache Valley Dairy in Logan, Utah and Smith Grocery Stores in Layton Utah.

20 The amount of milk-derived mucin in an ophthalmic formulation can vary greatly depending on the product type. For example, in contact lens related solutions the mucin concentration would vary from about 0.001% to 5.0% by weight. In dry eye preparations the mucin level could vary from about 0.1% to about 10.0% by weight. In a solid ocular insert delivery device the mucin level could range to about  
25 90.0% or greater by weight. Within each type of preparation, the concentration might be varied, depending on such factors as the severity of the dry eye condition being treated, to enhance particular properties of the mucin solution. These ranges are given to provide a teaching of this invention and are not meant in any manner to limit the scope of this invention.

WO 00/51619

12

PCT/US00/05365

The present ophthalmic solutions include the milk-derived mucin of this invention. In addition, other solution components may be employed as required:

#### VISCOSIFIERS

Cellulose derivatives are commonly used to increase viscosity. Specific  
5 cellulose derivatives include: hydroxypropylmethylcellulose,  
carboxymethylcellulose, methylcellulose, hydroxyethylcellulose, etc. Some  
polysaccharides may also be utilized to increase the viscosity of ophthalmic solutions  
and include xanthan, scleroglucan, carrageenans, tragacanth gum, hyaluronic acid  
etc. Other viscosifiers that are useful include polyvinylpyrrolidone, polyvinyl alcohol,  
10 polyethyleneoxide, polyacrylic acid and crosslinked polyacrylic acid. Generally,  
viscosifiers are present in the amount of 0.1 to 0.75 % by weight of the solution.

#### BUFFERING AGENTS

Any pharmaceutically acceptable buffer system may be utilized and include  
phosphates, borates, citrates, acetates and carbonates in amounts necessary to produce  
15 a pH of about 6.0 to about 8.0.

#### TONICITY AGENTS

The tonicity of the ophthalmic solutions described here can be adjusted to  
either hypotonic, isotonic or hypertonic relative to normal tears by use of generally  
used materials known to the art. Sodium and potassium chloride are widely used to  
20 adjust tonicity. Other agents include dextrose, mannitol, sorbitol and urea.

#### HUMECTANTS

Water binding compounds aid in retaining moisture on the ocular surface and  
include glycerin, propylene glycol, polyethylene glycol.

#### WETTING AGENTS

25 Certain compounds are useful to promote surface wetting, whether it be the  
ocular surface or the surface of a contact lens. One category that is preferred is the  
polyoxamers. These polyethyleneoxide-polypropyleneoxide-polyethyleneoxide block  
copolymer are available from BASF. Other compounds include the Tetronics®,  
reverse Pluronic® and the reverse Tetronics®, also available from BASF.

30

WO 00/51619

PCT/US00/05365

13

### PRESERVATIVES

The compositions of this invention may include a preservative in an effective amount. Preservatives known to the art include alkyl dimethyl benzylammonium chloride (BAK), chlorhexidene gluconate (CHG), polyhexamethylene biguanide (PHMB), other polyquats and sorbic acid. The subject compositions may also include a co-preservative and/or chelating agent, such as ethylenediaminetetraacetic acid (EDTA) and its salts.

### OTHER ADDITIVES

In some cases it may be beneficial to include other components in an ophthalmic solution. These include specific ions, such as  $\text{Ca}^{++}$ ,  $\text{Zn}^{++}$  and  $\text{Mg}^{++}$ ,  $\text{Cu}^{++}$ , selenium, vitamins, such as A, C and E, to promote ocular health. The compositions described in this invention may also be utilized as vehicles for drug delivery. Drugs often used in the eye include anti-glaucoma compounds, anti-inflammatory agents and anti-infective agents.

As previously described, this invention finds particular utility as lubricating eye drops, i.e., an artificial tear solution, a tear fluid supplement, a delivery vehicle for topical ophthalmic drug application. In most of these applications, the compositions of this invention are provided in a buffered, sterile aqueous solution. Typically, these solutions have a viscosity from about 1 to 100 cps. As a solution the compositions of this invention are dispensed in the eye in the form of an eye drop. It should be understood, however, that the compositions described in this invention may also be formulated as viscous liquids, i.e., viscosities from several hundred to several thousand cps, gels or ointments. In these applications the mucin component would be dispersed or dissolved in an appropriate vehicle such as Lubragel, GRR Lubricating Jelly or Karajel, all trademarked products of United-Guardian, Inc., Hauppauge, NY.

The compositions of this invention may also be formulated as solid ocular inserts that dissolve or erode over time when placed in the cul-de-sac of the eye.

Swelling-controlled release devices would consist of mucin homogeneously dispersed in a glassy polymer such as a water soluble cellulosic. When the insert is placed in the eye, the tear fluid begins to penetrate the matrix, followed by swelling.

WO 00/51619

PCT/US00/05365

14

and finally dissolution, of the matrix. As this process occurs, mucin is released into the eye to provide relief of dry eye symptoms over a long period of time.

Erodible devices would again consist of mucin homogeneously dispersed in a polymer matrix. In this case, mucin is released by a chemical reaction (hydrolysis) that results in solubilization of the matrix polymer, usually at the surface of the device. Generally, the matrix material is a polyanhydride or a poly(ortho ester).

In another embodiment the mucin may be chemically modified or crosslinked to act as its own "matrix", where mucin comprises the entire, or nearly entire, device, thus providing the maximum amount of mucin available to the eye.

Furthermore, in some contact lens related embodiments, the mucin disclosed in this invention may be incorporated into contact lens soaking and conditioning solutions as well as lubricating eye drops for contact lens wearers.

In another embodiment the mucin may be utilized in drug delivery. The most common and convenient method for delivery of ocular drugs is by way of topical eye drops. Generally, the solution vehicles employed are quickly diluted by the tear fluid and drain from the eye in a matter of minutes. This short residence time hinders the absorption and hence the bioavailability of the drug in the eye. Oftentimes the short residence time is overcome by greatly increasing the concentration of the drug to improve bioavailability. This often leads to significant undesirable side effects due to the systemic actions of many of the ocular drugs currently prescribed.

Much research has been done to improve the residence time of the drug vehicle at the ocular surface and also to promote interaction or association of the drug with the vehicle. One approach that has been commercialized is to utilize a crosslinked carboxy-functional polymer such as Carbopol®, supplied by B.F. Goodrich. The bioadhesive nature of this polymer has been the basis for controlled release ophthalmic formulations as described in U.S. 4,615,697 and U.S. 5,188,826, both of which are incorporated by reference in their entirety.

These crosslinked carboxy-functional polymers swell in aqueous solution but remain as micron-size hydrated particles. Furthermore, at neutral pH, they are substantially anionic in nature. Since many ophthalmic drugs, for example timolol



WO 00/51619

PCT/US00/05365

15

and pilocarpine, are positively charged, they will associate with the negatively charged polymer particles through electrostatic interaction. Also, since the hydrated particles are microporous, the drug can be absorbed into the matrix. When an ophthalmic solution of this type is placed in the eye, the hydrated polymer particles  
5 adhere to the mucosal surface, providing extended residency time. During this residence the drug is released from the hydrated polymer particles, thus providing for a more efficient local delivery to the eye.

The mucins of the present invention are by definition "bioadhesive" and contain multiple negative charges. It has also been shown that the mucins of this  
10 invention are high molecular weight compounds crosslinked through protein disulfide bridges. Given this information one would expect the mucins of this invention to act in a similar manner to the crosslinked carboxy-functional polymers as an ophthalmic drug delivery vehicle. In practice, the mucins of this invention may provide superior retention time due to their ability to interact not only with the epithelial surface but  
15 also with the natural mucins in the tear film.

WO 00/51619

PCT/US00/05365

16

The present invention is further described by way of illustration and not limitation by the following examples:

TABLE I

5

INGREDIENT	TRADE NAME	% ACTIVE	SUPPLIER
Hydroxyethyl cellulose	Natrosol 250 MR	100	Hercules
Hydroxypropylmethyl cellulose	Methocel E4M	100	Dow
Polysorbate 80	Tween 80	100	ICI Americas
Block copolymer of ethyleneoxide/propylene oxide	Pluronic F127	100	BASF
Block copolymer of ethyleneoxide/propylene oxide	Tetronic 1107	100	BASF
Polyvinyl alcohol 80	-----	100	Polysciences
Chlorhexidine gluconate	CHG	20	Xttrium Labs
Polyhexamethylene biguanide HCl	PHMB	20	ICI Americas
Polyglycerol methacrylate	Lubrigel	Varies	United-Guardian
Timolol maleate	-----	100	Sigma
Pilocarpine HCl	-----	100	Sigma
Polyvinyl alcohol	Airvol 325	100	Air Products

WO 00/51619

PCT/US00/05365

17

## EXAMPLE 1

There are many types of milk by-products that contain mucin and a variety of procedures for recovering that mucin. Given this scope, the following example describes a detailed procedure for isolating milk mucin from acid whey.

Unconcentrated acid whey, after a heat sterilization step, is filtered through a 0.65  $\mu$ m membrane to remove large particles. The permeate is then concentrated and washed with 1 M NaCl on a membrane with a 1,000,000 MW cut-off to remove low MW proteins and lactose. The concentrated solution is then autoclaved, which denatures the remaining proteins except mucin. The denatured proteins are removed by refiltering the solution on a 0.22  $\mu$ m membrane. The mucin is then concentrated and desalted by washing with water on the 1,000,000 MW filter. The final yield is around 0.25 gm of mucin per liter of unconcentrated acid whey (0.25 g/l).

## EXAMPLE 2

The mucin recovered from acid whey, in Example 1, was analyzed by polyacrylamide gel electrophoresis (SDS-PAGE) and found to contain three mucin bands along with trace amounts of other proteins. The structure of the mucin fractions was then determined with respect to total protein, lipid and carbohydrate content. The surface activity and the size of the mucin hydrocolloid were also determined. The results of the characterization are reported in Table II.

TABLE II

Composition (%)		Surface tension		Size
Protein	Carbohydrate	Lipid	(mN/m)	( $\mu$ m)
28.80	33.89	30.82	52.00	283.18

WO 00/51619

PCT/US00/05365

18

## EXAMPLE 3

This example illustrates the ability of milk-derived mucin solutions to be sterilized by autoclaving.

A 20 ml solution of 0.5% by weight mucin (derived from acid whey) in water was prepared. The solution was then filtered through a 5.0  $\mu$ m filter, under clean  
5     conditions, and divided among four 10 ml vials (5 ml in each). The vials were then sealed with a crimp/septum assembly. Two of the sealed vials were autoclaved at 121  
\_C for 50 minutes. The other two were unprocessed controls. Stability tests were performed at both room temperature and 35 \_C, on both the autoclaved and unprocessed control samples. The samples were observed on a weekly basis over four  
10     weeks for any visual changes or differences. The results are summarized below in Table III.

TABLE III

Condition	Initial	1 Week	2 Weeks	3 Weeks	4 Weeks
Unprocessed RT	C	C	C	C	C
15     Autoclaved RT	C	C	C	C	C
Unprocessed, 35° C	C	C	C	C	C
Autoclaved, 35° C	C	C	C	C	C

Compatibility Key: C - Compatible, homogeneous; SI - Slightly incompatible, some precipitation; I - Incompatible, gross precipitation

20     No visual changes in color, clarity or appearance were noted as a result of autoclaving. The results indicate that the milk-derived mucin can be autoclaved with no apparent degradation. This finding indicates that milk mucin-based ophthalmic solutions can be sterilized by an autoclave process.

WO 00/51619

PCT/US00/05365

19

TABLE IV  
STABILITY OF MILK MUCIN SOLUTIONS AT THREE TEMPERATURES OVER 28 DAYS

Solution Component, in addition to 0.5% mucin	T °C	0	3	7	14	21	28
Sodium phosphate (dibasic) 0.28%	RT	C	C	C	C	C	C
Potassium phosphate (monobasic) 0.06%	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Sodium borate 0.05%	RT	C	C	C	C	C	C
Boric acid 0.35%	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Sodium chloride 0.9%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Potassium chloride 0.9%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Propylene glycol 1.0%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Glycerin 1.0%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Polyethylene glycol 400 1.0%	RT	I	I	I	I	I	I
	35	I	I	I	I	I	I
	10	I	I	I	I	I	I
Polysorbate 80 1.0%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
PEO/PPO Block Copolymer (Pluronic® F127) 1.0%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Polyvinyl alcohol 80 1.0%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Hydroxyethyl cellulose (Natrosol 250 MR) 0.5%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C
Hydroxypropylmethyl cellulose (Methocel E4M) 0.5%	RT	C	C	C	C	C	C
	35	C	C	C	C	C	C
	10	C	C	C	C	C	C

WO 00/51619

20

PCT/US00/05365

## EXAMPLE 4

This example illustrates the compatibility of milk-derived mucin with common (monograph) ophthalmic solution ingredients. To accomplish this task, acid whey-derived mucin-based solutions were prepared by dissolving, with stirring, the ingredients in the required amount of USP/NF purified water (qs to 100%). A total of 20 ml of each solution was prepared.

The non viscous solutions were filtered through a 5.0 micron syringe filter, divided into three equal volumes, each being placed in a 10 ml vial, which was then sealed with a crimp/septum assembly. The vials were autoclaved at 121 °C for 50 minutes to sterilize the solutions. The solution samples were then aged, at three temperatures, 10 °C, RT and 35 °C, for 28 days. The samples were visually inspected for signs of incompatibility (precipitation) and stability (color change) at 3, 7, 14, and 28 days. The inspection results were recorded and are presented in Table IV, using the same key for compatibility as table III.

It can be seen from the data in Table IV that incompatibility was noted with only one solution component, polyethylene glycol. The other solutions components were compatible with milk mucin and produced solutions that were stable over the 28-day shelf life test.

## EXAMPLE 5

This example illustrates the ocular compatibility of milk-derived mucin utilizing an in vitro transepithelial permeability assay.

The irritation potential of milk-derived mucin was evaluated at a very high concentration level, 3.0%, in two buffers. This level was chosen as representative of the upper concentration limit of mucin in an ophthalmic solution. The buffer systems are representative of those that would be utilized in a commercial ophthalmic solution product. Formulations details are presented in Table V.

WO 00/51619

PCT/US00/05365

21

TABLE V

Component	A	B
Mucin (derived form acid whey)	3.00	3.00
Sodium phosphate, dibasic	0.28	---
Potassium phosphate, monobasic	0.055	---
Boric acid	---	0.35
Sodium borate	--	0.05
Sodium Chloride	0.42	0.47
Disodium edetate	0.05	0.05
Propylene glycol	0.50	0.50
Deionized water, qs to	100	100

The solutions described in Table V were subjected to the following

5 experiments to determine potential eye irritation of the solutions. The experimental methods follow the procedure developed by R. Tchao, which is described in "Trans-Epithelial Permeability of Fluorescein In Vitro as an Assay to Determine Eye Irritants", Progress in In Vitro Toxicology, Volume 6, 1988, pages 271-283 (Mary Ann Liebert, Inc. Publishers, New York), the disclosure of which is incorporated

10 herein by reference. The Tchao technique is described as a method of determining potential eye irritation of a substance by correlating damage to a mono-layer of Madin-Darby Canine Kidney (MDCK) cells with damage to corneal epithelial cells. The amount of fluorescein passing through the cell mono-layer is a function of permeability of the cell mono-layer. Higher cell mono-layer permeability indicates

15 greater damage to the cell junctions from application of a test solution thereto, whereas lower cell mono-layer permeability indicates less severe damage to the cell junctions from application of the test solution.

The details of the test are presented below.

20 Culture preparation: MDCK cells are obtained from ATCC, and maintained in minimum essential medium (MEM) supplemented with 10% bovine calf serum with iron supplementation (Hyclone, Utah). Stock cultures are passaged weekly using trypsin and EDTA. Cultures are used before passage 50. For the test, 0.5ml of a cell suspension containing  $2 \times 10^5$  cells are seeded in Millicell HA 13mm inserts

WO 00/51619

22

PCT/US00/05365

(Millipore, Bedford, MA). The inserts are placed in 24-well plates and fed with 0.5ml medium. Two days after seeding the cells, the media both inside and outside the inserts are replaced with fresh media. On day 6 after seeding, the inserts are used for testing the solutions. It has been shown that the resistance developed by a confluent MDCK monolayer is about 600 ohms/cm<sup>2</sup>.

Test: Each insert is rinsed with Hanks Balanced Salt Solution (HBSS) 3 x 1 ml using a 10 ml syringe without needle. Each test solution (0.5 ml) is added to the inside of an insert that has been placed in a fresh 24-well plate. Triplicate inserts are used for each test solution. The 24-well plate with inserts and test solutions are placed in a humidified incubator at 37 °C for 30 minutes. Each series of triplicates is handled sequentially to allow exact timing of the treatment. After incubation, sequentially, each insert is individually rinsed with HBSS 5 x 1 ml using the 10 ml syringe, and is placed in a fresh 24-well plate containing 0.5 ml HBSS in each well. 0.5 ml of a solution of Na-fluorescein (3 mg/100 ml) is added to each rinsed insert. After incubation at room temperature for 30 minutes, the inserts are sequentially removed from the wells, and the amount of Na-fluorescein in each of the wells is measured in a CytoFluor 2300, using 540 nm excitation and 590 nm emission. For each test, the negative control is HBSS and the positive control is 250 µg/ml sodium dodecyl sulfate (SDS). It has been determined that the assay can measure the effect of 50 µg/ml SDS, and the effect on the permeability of the monolayer is linearly proportional to the concentrations of SDS from 50 - 250 µg/ml. Fluorescence units (arbitrary) of each test solution is plotted against test solutions.

Interpretation of results: The results are expressed as % of SDS response, and comparisons with the HBSS response. Generally, if the solution is 20% of the SDS response, the solution may be a mild irritant.

The results of the in vitro irritation potential testing are presented in Table V along with the results for the positive and negative controls. The positive control 250 ppm of sodium dodecyl sulfate (SDS) is known to cause noticeable irritation when instilled in the human eye. The negative control Hank's balanced salt solution (HBSS) is known not to elicit any adverse reaction when instilled in the human eye.



WO 00/51619

23

PCT/US00/05365

The results are expressed as a percentage of SDS response, that is, SDS = 100% response. Any response less than 20% indicates little or no tissue change and is considered non-irritating.

TABLE VI

Solution	Response
SDS (250 PPM)	100
A	$6.5 \pm 0.1$
B	$5.4 \pm 0.2$
HBSS	$3.9 \pm 0.7$

It can be seen that the response of mucin, even at a level of 3%, is similar to the negative control, and therefore should be completely compatible with the ocular environment. A mucin level of 0.5% will be chosen as the starting point for prototype dry eye solutions. Given the data above we conclude that at 0.5% concentration, mucin will be completely compatible with the ocular environment, and pose no risks to clinical study subjects.

## EXAMPLE 6

The following example illustrates the use of milk-derived mucin in two dry eye formulations. The formulations were subjected to one week of aging at three temperature levels. The formulations and physical properties are presented in Table VII.

TABLE VII

Component	A	B
Mucin	0.5	0.5
Sodium Phosphate, dibasic	0.28	---
Potassium Phosphate, Monobasic	0.055	---
Boric acid	---	0.35
Sodium borate	---	0.05
Sodium chloride	0.42	0.42
Disodium edetate	0.05	0.05
Potassium sorbate	0.15	0.15
Propylene glycol	0.50	0.50
HEC Natrosol 250 MP	0.30	0.40
Pluronic® F127	1.0	1.0
Polysorbate 80	0.5	0.5
Deionized water, qs to	100	100

WO 00/51619

24

PCT/US00/05365

Physical Properties, Initial		
Ph	7.3	7.0
Osmolality	292	300
Viscosity	12	29
Surface Tension	39	39

Physical Properties, 7 days at 10°C		
PH	7.3	7.0
Osmolality	290	300
Viscosity	12	30
Surface Tension	39	39

Physical Properties, 7 days at RT		
PH	7.3	7.0
Osmolality	292	300
Viscosity	13	30
Surface Tension	39	39

Physical Properties, 7 days at 35°C		
Ph	7.3	7.0
Osmolality	293	301
Viscosity	12	29
Surface Tension	36	39

5

From the results it can be seen that the physical properties of both Solution A and B are stable over the one-week aging period.

#### EXAMPLE 7

The treatment of dry eye and other ocular discomfort often involves the use of an ointment or gel. The ointment or gel can be either water soluble or non-water soluble (petrolatum based). This example employs a water soluble gel polymer, polyglycerol methacrylate, as the vehicle for delivering milk-derived mucin to the ocular environment. Gels of this type can be obtained from United-Guardian, Inc.; Hauppauge, NY, under the brand name Lubragels(r). The following formulations (in weight percent) were prepared by incorporating all the ingredients, except the Lubragel(r), into the aqueous phase. The Lubragel(r) was then mixed with the aqueous phase to produce the final product. The following formulations are representative of milk-derived mucin-based gels, ointments and viscous liquids products for ophthalmic use (Table VIII).

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WO 00/51619

PCT/US00/05365

25

TABLE VIII

INGREDIENT	A	B	C	D	E	F
Lubragel® DV	35.0	---	---	23.50	---	---
Lubragel® CG	---	45.0	---	---	30.00	---
Lubragel® MS	---	---	55.0	---	---	37.00
Milk Mucin	1.0	1.0	1.0	0.67	0.67	0.67
Glycerin	3.0	3.0	3.0	1.00	1.00	1.00
Propylene Glycol	1.0	1.0	1.0	0.67	0.67	0.67
Sodium Bicarbonate	0.1	0.1	0.1	0.07	0.07	0.07
Chlorhexidine Gluconate (20%)	0.004	0.004	0.004	0.003	0.003	0.003
Deionized water, qs to	100%	100%	100%	100%	100%	100%

  

Viscosity, cps	42,000	27,000	>100,000	18,400	13,300	90,500
Appearance	Gel	Gel	Stiff gel	Thick Liquid	Thick Liquid	Gel

The above results demonstrate the ability of milk-derived mucin to be  
 5 incorporated into ophthalmic delivery vehicles of widely different viscosities.

## EXAMPLE 8

The following formulations (in weight %) illustrate the use of mucin as an  
 interactive component with cationic drugs in glaucoma eye drop solutions (Table IX).

WO 00/51619

26

PCT/US00/05365

TABLE IX

INGREDIENT	A	B
	%	%
Timolol Maleate	0.25	---
Pilocarpine	---	1.0
Milk mucin	0.75	0.75
Boric acid	0.35	0.35
Sodium borate	0.05	0.05
Sodium chloride	0.42	0.42
Propylene glycol	0.50	0.50
Polysorbate 80	0.50	0.50
Disodium edetate	0.05	0.05
Potassium sorbate	0.20	0.20
Deionized water, qs to	100	100

The formulations presented are prepared by dissolving all the ingredients in deionized water in the order required. After the ingredients have completely dissolved, the formulation is stirred for at least two hours before properties are measured.

	A	B
PH	7.20	6.07
Osmolality mosm/kg	326	401
Viscosity, cps	1.5	1.4
Appearance	Hazy	Hazy

The above results demonstrate the use of milk-derived mucin as a delivery vehicle for ophthalmic drugs.

## EXAMPLE 9

The following formulations (in weight %) illustrate the use of milk mucin in soft contact lens rinsing, soaking and disinfecting solutions (Table X).

WO 00/51619

PCT/US00/05365

27

TABLE X

INGREDIENT	A	B	C	D
	%	%	%	%
Milk mucin	0.50	1.0	0.50	1.0
Pluronic F127	---	---	1.0	2.0
Tetronic I107	1.0	2.0	---	---
Propylene glycol	---	0.50	0.50	---
Sodium chloride	0.70	0.40	0.15	0.40
Disodium phosphate	0.28	0.28	---	---
Potassium phosphate	0.06	0.06	---	---
Boric acid	---	---	0.65	0.65
Sodium borate	---	---	0.12	0.12
Disodium edetate	0.10	0.10	0.10	0.10
PHMB (actual)	15 ppm	15 ppm	---	---
Potassium sorbate	---	---	0.25	0.25
Deionized water, qs to	100	100	100	100

- The formulations presented are prepared by dissolving all the ingredients in deionized water in the order required. After the ingredients have completely dissolved, the formulation is stirred for at least two hours before properties are measured.

	A	B	C	D
PH	7.13	7.20	7.40	7.30
Osmolality mosm/kg	313	292	308	320
Viscosity, cps	2.0	2.3	2.1	2.6
Appearance	Hazy	Hazy	Hazy	Hazy

The above results demonstrate the utility of milk-derived mucin as an active component in a soft contact lens solution.

10

## EXAMPLE 10

The following formulations (in weight %) illustrate the use of milk mucin for contact lens in-eye rewetting and lubricating solutions (Table XI).

WO 00/51619

PCT/US00/05365

28

TABLE XI

	A	B	C	D
INGREDIENT	%	%	%	%
Milk mucin	1.0	2.0	1.0	2.0
Methocel E4M	0.30	0.20	0.30	0.20
Pluronic F127	---	---	0.50	0.50
Tetronic 1107	0.50	0.50	---	---
Propylene glycol	---	---	0.30	0.50
Polyvinyl alcohol 80	0.30	0.10	---	---
Sodium chloride	0.60	0.60	0.20	0.10
Disodium phosphate	0.28	0.28	---	---
Potassium phosphate	0.06	0.06	---	---
Boric acid	---	---	0.75	0.75
Sodium borate	---	---	0.15	0.15
Disodium edetate	0.07	0.07	0.07	0.07
PHMB (actual)	15 ppm	15 ppm	---	---
Potassium sorbate	---	---	0.25	0.25
Deionized water, qs to	100	100	100	100

- The formulations presented are prepared by dissolving all the ingredients in deionized water in the order required. After the ingredients have completely dissolved, the formulation is stirred for at least two hours before properties are measured.

	A	B	C	D
PH	7.20	7.10	7.40	7.40
Osmolality mosm/kg	278	287	333	304
Viscosity, cps	12.1	7.6	13.3	7.7
Appearance	Hazy	Hazy	Hazy	Hazy

- The above results demonstrate the utility of milk-derived mucin as an active ingredient in a contact lens rewetting/lubricating solution.

WO 00/51619

PCT/US00/05365

29

## EXAMPLE 11

The milk mucin of this invention finds particular utility as packaging solutions for soft hydrogel contact lenses. The following composition illustrates the use of mucin in a contact lens packaging solution (Table XII).

5

TABLE XII

	A	B	C	D
INGREDIENT	%	%	%	%
Milk mucin	1.0	2.0	1.0	2.0
Boric acid	0.35	0.35	---	---
Sodium borate	0.05	0.05	---	---
Disodium phosphate	---	---	0.28	0.28
Potassium phosphate	---	---	0.055	0.055
Sodium chloride	0.69	0.69	0.72	0.72
Deionized water, qs to	100	100	100	100

The formulations presented are prepared by dissolving all the ingredients in deionized water in the order required. After the ingredients have completely dissolved, the formulation is stirred for at least two hours before properties are measured.

10

	A	B	C	D
PH	7.5	7.4	7.3	7.3
Osmolality mosm/kg	293	305	302	303

The above results demonstrate the ability of milk-derived mucin to function as an active ingredient in a contact lens packaging solution.

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WO 00/51619

PCT/US00/05365

30

## EXAMPLE 12

The following formulations (in weight %) illustrate the use of milk mucin as a dry eye treatment solution (Table XIII).

TABLE XIII

	A	B
INGREDIENT	%	%
Milk mucin	0.5	1.0
Disodium phosphate	0.28	0.28
Potassium phosphate	0.055	0.055
Sodium chloride	0.72	0.72
Disodium edetate	0.05	0.05
Deionized water, qs to	100	100

5

The formulations presented are prepared by dissolving all the ingredients in deionized water. After the ingredients have completely dissolved, the formulation is stirred for at least two hours before properties are measured.

	A	B
pH	7.2	7.2
Osmolality mosm/kg	300	300
Appearance	Hazy	Hazy

10

The above solutions were filtered through a 0.22 micron filter, in a clean room, into eye drop bottles. The solutions were then placed in the eyes of two subjects in a controlled clinical setting. The eyes of the subjects were monitored through a slit lamp biomicroscope after instillation of a drop of the test solutions. A Keeler Tearscope was also utilized to observe the effects on the subject tear film.

15



WO 00/51619

PCT/US00/05365

31

#### SUMMARY OF THE PROCEDURE

Solutions A and B (concentrations of 0.5% w/w and 1.0% w/w) were tried on subject one without RGP contact lens in place, and then lenses were placed in eye after soaking in A for a few minutes. Trials were bilateral for subject one. In subject  
5 two, A was instilled in one eye, and Visine Tears was instilled in the contralateral eye.

Adding one drop to the eye both with and without contact lenses in place produced no adverse reactions, including no symptoms of irritation, foreign body sensation burning, dryness, decreased vision, and no signs of corneal edema, conjunctival redness, conjunctival edema, or discharge. There was no punctate  
10 staining observed with addition of fluorescein stain. Additionally, there was no erythema or edema of the adnexa by external examination.

Examination of the tear film using the Keller Tearscope revealed a slightly more stable appearing tear film, as indicated by interblink film appearance and motion and a ore predominantly blue lipid pattern of more uniform wave appearance. This  
15 was true for all eyes receiving either mucin drop, but was not seen with the Visine Tears instilled into subject two OS.

Although the number of eyes looked at was limited, the important initial findings is that the mucin drops did not cause any adverse reactions as listed above or even discomfort. The findings describing a more stable and/or thicker tear film are  
20 advantageous.

WO 00/51619

PCT/US00/05365

32

What is claimed is:

CLAIM 1. An ophthalmic preparation comprising a mucin-containing component derived from mammalian milk or a milk byproduct.

CLAIM 2. An ophthalmic preparation in accordance with Claim 1 wherein said preparation is in the form of a solution.

CLAIM 3. An ophthalmic preparation in accordance with Claim 1 wherein said preparation is in the form of an ointment.

CLAIM 4. An ophthalmic preparation in accordance with Claim 1 wherein said preparation is in the form of a gel.

CLAIM 5. An ophthalmic preparation in accordance with Claim 1 wherein said preparation is in the form of an ocular insert.

CLAIM 6. An ophthalmic preparation in accordance with Claim 1 wherein the mucin-containing component comprises at least 20% mucin by weight.

CLAIM 7. An ophthalmic preparation in accordance with Claim 1 wherein the mucin-containing component is present in an amount from about 0.001% to about 1.0% by weight.

CLAIM 8. An ophthalmic preparation in accordance with Claim 1 wherein the mucin-containing component is present in an amount from about 1.0% to about 10.0% by weight.

CLAIM 9. An ophthalmic preparation in accordance with Claim 1 wherein the mucin-containing component is present in an amount from about 10% to about 90% by weight.

WO 00/51619

PCT/US00/05365

33

CLAIM 10. An ophthalmic preparation in accordance with Claim 1 further comprising a buffering agent.

CLAIM 11. An ophthalmic preparation in accordance with Claim 1 further comprising a viscosity modifying agent.

CLAIM 12. An ophthalmic preparation in accordance with Claim 1 further comprising a tonicity modifying agent.

CLAIM 13. An ophthalmic preparation in accordance with Claim 1 further comprising a humectant compound.

CLAIM 14. An ophthalmic preparation in accordance with Claim 1 further comprising a therapeutic drug.

CLAIM 15. An ophthalmic preparation in accordance with Claim 1 further comprising a buffering agent plus a viscosity modifying agent.

CLAIM 16. An ophthalmic preparation in accordance with Claim 1 wherein said milk byproduct comprises whey.

CLAIM 17. An ophthalmic preparation in accordance with Claim 16, wherein said whey is purified to recover said mucin component.

CLAIM 18. An ophthalmic preparation for treating an eye by topically applying the preparation to an ocular surface to provide lubrication and protection to the ocular surface for the relief of dryness and discomfort symptoms, the ophthalmic preparation, comprising:

WO 00/51619

PCT/US00/05365

34

a buffered, sterile aqueous solution including a mucin-containing component, wherein said mucin containing component is derived from mammalian milk or a milk byproduct.

CLAIM 19. An ophthalmic preparation in accordance with Claim 18, wherein said milk byproduct comprises whey, said whey being purified to recover said mucin component.

CLAIM 20. An ophthalmic preparation in accordance with claim 18, wherein said mucin-containing component is classified as a MUC1 type mucin.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/05365

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC(7) : A61K 31/66 US CL : 424/104 According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) U.S. : 424/104		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched NONE		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) CAS ONLINE, MEDLINE		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4,438,100 A (BALSLEV et al.) 20 March 1984, see the entire document.	1-20
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* "A"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance	* "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principles or theory underlying the invention
* "E"	earlier document published on or after the international filing date	* "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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* "O"	document referring to an oral disclosure, use, exhibition or other means	* "A" document member of the same patent family
* "P"	document published prior to the international filing date but later than the priority date claimed	
Date of the actual completion of the international search 07 MAY 2000		Date of mailing of the international search report 06 JUN 2000
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230		Authorized officer <i>John F. Smith</i> ZOHREH PAY Telephone No. (703) 308-1235

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